

Bridging Simulation and Experiment: Crystallite Size and Microstrain Analysis of Magnetite (Fe₃O₄) Nanoparticles

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Abstract

The determination of crystallite size of nanoparticles is a significant challenge due to the limitations of relying on a single estimation method. This study investigates the structural properties of synthesized Magnetite (Fe_3O_4) nanoparticles through a combination of experimental and simulated X-ray diffraction (XRD) analysis. Using VESTA software, a simulated XRD pattern was generated based on precise crystal structure details obtained from a CIF file, closely validating the high purity and crystallinity of the synthesized Fe_3O_4 nanoparticles. Various estimation methods, including Scherer's method, Size Strain Plot method, and Halder-Wagner method, were employed to estimate crystallite size and microstrain. Comparison of results from both experimental and simulated data revealed slight discrepancies attributed to differences in measurement techniques, sample preparation, and material properties. Additionally, Energy-Dispersive X-ray (EDX) analysis confirmed the composition of the synthesized nanoparticles, while transmission electron microscopy (TEM) and field emission scanning electron microscopy (FESEM) provided further validation of particle size. This study provides a comprehensive exploration of the structural properties of Magnetite (Fe_3O_4) nanoparticles, emphasizing the integration of multiple analysis techniques and simulation methods to enhance precision and reliability in estimating crystallite size. By combining experimental approaches with simulations, it offers a thorough understanding of the nanoparticles' composition and structural characteristics, contributing to advancements in nanomaterials research.

Keywords: *Magnetite (Fe*₃*O*₄*), Nanoparticle, Crystallite size, Microstrain, Simulation, Scherer's method.*

1. Introduction

Nanotechnology has recently garnered significant attention for its remarkable capacity to engineer, characterize, and finely tune the functional properties of nanostructures (Bilal et al., 2022). Metallic nanoparticles (NPs) hold particular interest due to their broad range of applications spanning from biomedical to electronic domains, encompassing areas such as biomedical treatments, ferrofluid materials, advanced magnetic materials, catalysts, groundwater remediation, hazardous waste treatment, coloured pigments, medical diagnostics, and magnetic recording media (Bilal et al., 2022; Vieira et al., 2020). At the nanoscale (ranging from 1 to 100 nm), particles exhibit distinct physical properties that significantly diverge from their larger, micron-sized counterparts (10-100 µm). These nanoscale particles exhibit diverse and intriguing attributes such as magnetic behaviour, optical properties, and surface reactivity, all inherently linked to their particle size. Thus, achieving precise determination

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of crystallite size is imperative for gaining a comprehensive understanding of nanoscale material properties (Adeniyi et al., 2023; Mujtaba et al., 2023).

Iron, known for its abundance and versatility, holds a prominent position among the elements on Earth. In recent years, iron oxide nanoparticles have emerged with vast potential across diverse applications, including but not limited to magnetic fluids, catalysts for carbon nanotube synthesis, contrast agents in magnetic resonance imaging (MRI), and components in nickel-iron batteries and environmental remediation processes (Chen et al., 2023; Khan et al., 2020; Zahedifar et al., 2021). The versatility of iron nanoparticles lies in their ability to adopt various forms tailored to specific applications. For instance, aggregated nanosized iron powder finds utility in Ni-Fe batteries and environmental clean-up efforts, whereas well-dispersed colloidal iron is essential for applications in biological systems like MRI enhancement and biomaterials separation (Dong et al., 2022; Qu et al., 2022; Yang et al., 2021). In another significant application, the growth of carbon nanotubes on silicon substrates via chemical vapor deposition relies on iron, cobalt, or nickel nanoparticles as catalysts (Eltaweil et al., 2020; Yi et al., 2019). In such applications, achieving dispersive separation of nanoparticles on the substrate becomes crucial to prevent interference among nanotubes, particularly in field-emission sources. A plethora of synthesis methods have been reported for producing Fe₃O₄ nanoparticles, encompassing techniques such as co-precipitation, sol-gel processes, flow injection, electrochemical methods, solvothermal and hydrothermal routes, microwave-assisted synthesis, and thermal decomposition of iron (III) acetylacetonate in tri (ethylene glycol), among others (Wang et al., 2019; Yi et al., 2020). These methods offer a diverse array of approaches to tailor the properties and characteristics of iron oxide nanoparticles to suit specific application requirements and advance technological innovations (Jia et al., 2019).

Among the methodologies available for determining crystallite size, Scherrer's method stands as a well-established technique utilizing X-ray diffraction (XRD) patterns to compute the average size of crystallites based on diffraction peak width (Chin et al., 2022a). However, it's essential to recognize that Scherrer's formula provides only a lower limit estimation of crystallite size, as it fails to account for peak broadening contributions resulting from crucial factors like inhomogeneous strain and instrumental effects (Billah et al., 2023). In reality, both crystallite size and lattice strain contribute to the broadening of X-ray diffraction peaks in nanoparticles. This peak broadening, primarily attributed to lattice strain, arises from the substantial volume of grain boundaries in nanoparticles, potentially impacting the accurate determination of crystallite size (Sonmez et al., 2015). Therefore, conducting strain calculations becomes essential to achieve precise measurements of crystallite size and explore the influence of strain on nanomaterial properties (Kandemir et al., n.d.; Liu et al., 2020). In contrast to Scherrer's method, X-ray diffraction peak profile analysis (XPPA) incorporates the consideration of peak broadening stemming from factors like inhomogeneous strain and instrumental effects. This analytical approach has been widely utilized for determining grain size, lattice distortion, twinning, stacking fault probabilities, as well as long-range and root mean square stresses in nanocrystalline materials. Various XPPA techniques, including the Williamson-Hall methods, Stress-Strain Plot Methods, and Halder Wagner Methods, have been employed to estimate crystallite size and lattice strain based on X-ray peak broadening (Kumar et al., 2021; Kushwaha & Chauhan, 2021; Shweta et al., 2021). The primary aim of this research is to accurately determine the crystallite size of nanocrystalline hematite nanoparticles through a comprehensive analysis of powder X-ray diffraction (XRD) data. In addition to analyzing experimental XRD data, the study will utilize VESTA software for simulating results, allowing for a comparison between simulated and experimental data to confirm the accuracy of the calculated crystallite size. Furthermore, the research aims to extend its analysis by comparing the determined crystallite size with particle size distributions obtained from Transmission Electron Microscopy (TEM) analyses. This approach ensures robust validation of the crystallite size derived from XRD data and contributes to a deeper understanding of the structural properties of hematite nanoparticles. Through this multifaceted methodology, the study aims to provide valuable insights into nanomaterial characterization, advancing knowledge in this field.



2. Materials and Method

2.1 Materials

The materials used in the present research include iron (III) nitrate hydrate Fe $(NO_3)_3 \cdot 9H_2O$ (MW: 404.00; CAS: 7782- 61-8), anhydrous citric acid (C₆H₈O₇.H₂O) (M_W: 192.12; CAS: 77-92-9), as starting materials and were of analytical grade. Distilled water was used to prepare aqueous solutions during the whole experiment.

2.2 Methods

2.2.1 Synthesis of Magnetite (Fe₃O₄) using Auto-Combustion Technique

Magnetite (Fe₃O₄) nanoparticles via the solution combustion method, ferric nitrate was initially dissolved in 5 mL of distilled water with continuous stirring at room temperature. Simultaneously, the fuel was dissolved in another 5 mL of distilled water. Subsequently, the two solutions were meticulously mixed until a homogeneous solution was achieved. This uniform mixture was then heated at 400°C for 30 minutes in a furnace to yield the Fe₃O₄ nanoparticles. The resulting product was further ground to obtain Fe₃O₄ nano powder.

2.2.2 Simulation of Magnetite (Fe₃O₄) using Vesta Software

In this study, the simulation of X-ray diffraction (XRD) graphs for magnetite nanoparticles using VESTA software was comprehensively obtained. The procedure commenced with the download and installation of VESTA software, followed by obtaining a CIF file containing accurate crystal structure details for magnetite nanoparticles. Subsequently, the CIF file was used in VESTA, and simulation parameters were set up including the specification of the X-ray wavelength and adjustments of other parameters to meet research requirements. The XRD simulation process was then initiated, leading to the generation of a simulated XRD pattern based on the specified parameters and the crystal structure of magnetite nanoparticles. Analysis of the simulated pattern facilitated the identification of peaks corresponding to magnetite's crystallographic planes. Moreover, comparison with experimental data enabled the validation of results, with necessary parameter adjustments made for accuracy. Finally, the simulated XRD pattern was saved and data exported for further analysis and documentation, providing a comprehensive simulated structural properties of magnetite's crystallographic structure.

3. Characterization

The X-ray diffraction (XRD) patterns were acquired using an X-ray diffractometer (X'pert Pro, Panalytical), with CuK radiation (λ =0.154187 nm), in the 2 θ angle range of 20° to 80°. The collected XRD patterns were analyzed using the X'pert High Score Plus software (version 3.0e (3.0.5)). Surface properties of the prepared samples were analyzed using the ScanAsyst peak force tapping mode of the Bruker Dimension Edge atomic force microscopy (AFM). The morphology of the samples was further examined using field emission scanning electron microscopy (FESEM) (FEI Nova SEM230) at a working distance of 5.2 nm and an accelerating voltage of 10.0 kV. The elemental composition of the samples was characterized using an energy dispersive X-ray (EDX) spectrometer (7353, Oxford Instruments).

4. Result and Discussion

4.1 Structural Analysis

4.1.1 X-ray Diffraction (XRD)

The X-ray diffraction (XRD) profile of the synthesized magnetite nanoparticles is depicted in Figure 1(a), revealing distinct peaks observed at specific angles: 24.12°, 33.14°, 35.60°, 40.85°, 49.45°, 54.0°, 57.5°, 62.44°, 64.00°, 72.86°, and 75.35°. These peaks correspond to crystal planes (111), (220), (311), (222), (400), (422), (511), (440), (214), (300), (1010), and (220), respectively. Remarkably, the experimental diffraction planes closely align with the simulated diffraction pattern illustrated in Figure 1(b), indicative of a close match to the hexagonal Magnetite nanoparticle's stick pattern found in the International Crystallographic Diffraction Data (ICDD) under number 00-024-0072. Furthermore, the absence of any impurity in the diffractograms underscores the high purity of the

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synthesized particles. The sharpness of the diffraction peaks in the XRD profile suggests the presence of highly crystalline particles. Leveraging this diffraction profile, essential parameters such as crystallite size, intrinsic strain, and stress of the nanoparticles will be calculated using various models, ensuring a comprehensive analysis of the structural properties of the synthesized magnetite nanoparticles. Thus, the alignment observed between the XRD diffraction patterns of both the experimental and simulated data confirms the structural integrity of the synthesized magnetite nanoparticles.



Figure 1: (a) XRD pattern of experimental Fe₃O₄ nanoparticle (b) XRD pattern of simulated Fe₃O₄ nanoparticle

4.1.1.1 Scherer's Method

Scherer's method, also known as the Scherrer equation or Scherrer's formula, is a widely used technique for estimating the crystallite size in a material from the broadening of x-ray diffraction peaks. This method provides valuable insights into the average size of the crystalline domains present in a sample (Abed et al., 2019). The Scherrer equation is derived from the fundamental principles of x-ray diffraction and makes use of the peak broadening resulting from the finite size of the crystalline domains. According to this equation, the crystallite size (D) is related to the peak broadening (β), the X-ray wavelength (λ), the Bragg angle (θ), and a dimensionless shape factor (K) (Basak et al., 2022):

$$D = \frac{k\lambda}{\beta_{hkl}\cos\theta}$$
(1)

where λ is the X-ray wavelength, k is the shape factor (usually taken as 0.9) β is the full width at half maximum (FWHM) of the diffraction peaks and θ is the Bragg's angle of reflection.

In applying Scherer's method to evaluate crystallite size, X-ray diffraction (XRD) measurements were conducted on Fe₃O₄ nanoparticles. The resulting XRD pattern provides critical information regarding the positions and intensities of diffraction peaks, which correspond to specific crystal planes within the material. The observed broadening of peaks is attributed to the finite size of the crystalline domains (Desai et al., 2021). Figure 2 shows graphs applying Scherer's method to both experimental and simulated Fe₃O₄ nanoparticles, along with the respective linear least square method equations derived from linear regression data plots. Notably, the estimated crystallite size and microstrain from the experimental data was 20.66 nm and 2.35×10^{-3} , whereas that from the simulated data notably exceeded it at 36.39 nm and 2.75×10^{-3} respectively. This discrepancy prompts investigation into various factors contributing to the observed difference. One potential explanation is the idealized nature of simulated data, which may not fully encapsulate the complexities and imperfections present in real-world samples. Simulated XRD

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patterns are based on theoretical models and assumptions, diverging from the nuanced intricacies inherent in experimental data, which reflect the actual crystalline structure of synthesized nanoparticles, accounting for defects, grain boundaries, and strain (Aly et al., 2017). Additionally, discrepancies may arise from variations in sample preparation techniques, synthesis conditions, and measurement parameters(Sapna et al., 2018). Furthermore, it's important to acknowledge the limitations of the models used for crystallite size estimation in both simulated and experimental analyses, which may lead to differences in calculated crystallite size and microstrain. Hence, understanding these factors is crucial for interpreting and reconciling disparities between simulated and experimental assessments of crystallite size in XRD analysis.



Figure 2: (a) Experimental Scherer's method estimated crystallite size of Fe₃O₄ nanoparticle (b) Simulated Scherer's method estimated crystallite size of Fe₃O₄ nanoparticle.

4.1.1.2 Size Strain Plot Method (SSP)

The Size Strain Plot method is a widely used technique for estimating the crystallite size and microstrain in a material based on X-ray diffraction (XRD) data. This method provides valuable information about the average size of the crystalline domains and the presence of any lattice distortions or microstrain within the material (Sapna et al., 2018). The Size Strain Plot method utilizes the analysis of peak broadening in XRD patterns. The broadening of the diffraction peaks arises from several factors, including the finite size of the crystalline domains and the presence of lattice distortions or microstrain. By quantifying the peak broadening, it is possible to determine both the average crystallite size and the microstrain within the material (Yousefi et al., 2022). Moreover, it is important to note that the Size Strain Plot method assumes an isotropic strain distribution within the crystalline domains. Also, accurate determination of the crystallite size and microstrain requires careful measurement and analysis of the XRD peaks, considering instrumental broadening and other factors that may affect the peak shape. As a result, the total peak broadening in the size-strain plot method (SSP) can be calculated using the formula as follows (Aly et al., 2016):

$$\beta_{hkl} = \beta_L + \beta_G \tag{2}$$

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where β_L and β_G are Lorentzian and Gaussian Peak broadening. The SSP method separates the contributions of size and strain effects on the broadening of X-ray diffraction peaks. By considering both factors separately, it becomes easier to analyze and quantify the effects of isotropic enlargement (Monshi et al., 2012). Furthermore, the size strain plot (SSP) method consistently yields superior results when analyzing isotropic enlargement. This is attributed to its enhanced applicability to low angle reflections, where higher accuracy and precision are achieved compared to higher angles. The quality of X-ray diffraction (XRD) data tends to decrease at higher angles, leading to significant peak overlapping. In contrast, the lower diffraction angles provide clearer and more reliable information. The SSP calculation is performed using the following equation express as (Rani et al., 2019):

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$$\left(d_{hkl}\beta_{hkl}\cos\theta\right)^{2} = \frac{K\lambda}{D}\left(d_{hkl}^{2}\beta_{hkl}\cos\theta\right) + \frac{\varepsilon^{2}}{4}$$
(3)

where d_{hkl} is lattice separation between the lattice plane (hkl) of the hexagonal crystal structure. The lattice separation between the diffraction planes (hkl) can be calculated using the equation express as: The lattice separation between the diffraction planes (hkl) can be calculated using the equation express as (Gholizadeh, 2015):

$$\frac{1}{d_{hkl}^2} = \frac{4}{3} \left(\frac{h^2 + hk + k^2}{a^2} \right) + \left(\frac{l^2}{c^2} \right)$$
(4)

$$d_{hkl}^{2} = \left(\frac{a^{2}}{h^{2} + k^{2} + l^{2}}\right)$$
(5)

Therefore, a plot of $(d_{hkl}\beta_{hkl}\cos\theta)^2$ on y-axis and $(d_{hkl}^2\beta_{hkl}\cos\theta)$ on the X-axis is drawn as shown in Figure 3. Using the slope and intercept from the linearly fitted data, the mean crystallite size and the intrinsic strain of Fe₃O₄ nanoparticle is obtained. The estimated crystallite size and microstrain of the experimental as well as simulated data were found to be 23.28, 25.14 nm, and 2.79×10^{-8} , and 2.87×10^{-8} , respectively.



Figure 3: (a) Experimental Size-Strain Plot method estimated crystallite size of magnetite (Fe₃O₄) nanoparticle (b) Simulated Size-Strain Plot method estimated crystallite size of (Fe₃O₄) nanoparticles.

4.1.1.3 Halder-Wagner Method

The Halder-Wagner method is a widely used technique for estimating the crystallite size and microstrain of nanoparticles from X-ray diffraction (XRD) data. The method is based on the relationship between the crystallite size and the microstrain, which is given by the following equation (Khandan et al., 2014):

$$\beta_{hkl}^2 = \beta_L \beta_{hkl} + \beta_G^2 \tag{6}$$

where β is the FWHM of the diffraction peak, θ is the Bragg angle, λ is the wavelength of the X-ray radiation, D is the crystallite size, and ε is the microstrain. The Halder-Wagner method assumes that the spreading of the peak is a symmetric Voigt function, which means that the crystallite size and microstrain are estimated simultaneously

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(Heryanto et al., 2019). In contrast, the Williamson-Hall method considers the effect of strain on the XRD peak broadening and can be used for the estimation of the intrinsic strain separated from the crystallite size. The Halder-Wagner method assumes that the crystallites are spherical and that the XRD peak profile is described by a linear combination of Lorentz and Gaussian functions. According to the Halder-Wagner method, the following formula describes the relationship between crystallite size and lattice strain (Patel et al., 2020):

$$\left(\frac{\beta_{hkl}^*}{d_{hkl}^*}\right)^2 = \frac{1}{D} \cdot \frac{\beta_{hkl}^*}{d_{hkl}^{*-2}} + \left(\frac{\varepsilon}{2}\right)^2 \tag{7}$$

where $d_{hkl}^* = 2d_{hkl} \cdot \frac{\sin\theta}{\lambda}$. A linear plot will be obtained by plotting a graph of $\left(\frac{\beta_{hkl}^*}{d_{hkl}^*}\right)^2$ as the Y-axis and $\frac{\beta_{hkl}^*}{d_{hkl}^*}$

as the x-axis for every XRD pattern. Figure 4 depicts the Halder-Wagner plots and linear least square equations derived from linear regression of plotted data. The average crystallite size is determined by the slope of the straight line, while the Fe_3O_4 nanoparticle's intrinsic strain is determined by the intercept value. From the graph, the estimated crystallite size and microstrain both the experimental as well as the simulated data were found to be 23.28, 25.14 nm, and 2.79×10^{-8} , 2.87×10^{-8} respectively. The average crystallite size and microstrain derived from the Halder-Wagner method are found to be consistent with models derived from the Scherer and Monshi-Scherer methods.



Figure 4: Halder Wagner method estimated crystallite size of magnetite (Fe₂O₃) nanoparticles.

4.1.2 X-ray Dispersive Spectroscopy (EDX)

Energy-Dispersive X-ray (EDX) analysis is a valuable technique employed to validate the composition of synthesized nanoparticles. In this research, EDX analysis was conducted to provide further confirmation regarding the composition of the obtained Fe₃O₄ nanoparticles. Notably, the focus of the analysis was on Fe₃O₄ nanoparticles. The results of the EDX spectra analysis, depicted in Figure 5, provide the chemical composition of the synthesized nanoparticles. The EDX spectra (Figure 4) clearly revealed the presence of oxygen (O) and iron (Fe) elements in the Fe₃O₄ nanoparticles (Kolluru et al., 2021). Importantly, no signals indicating the presence of other elements were detected, indicating the high purity of the synthesized Fe₃O₄ nanoparticles. The observed percentages of Fe and O in the EDX spectra closely matched the amounts of Fe and O utilized in the respective precursors (Mubarak et al., 2016). This correspondence provides strong evidence that no significant loss of elements occurred during the combustion process. It demonstrates the efficiency and effectiveness of the synthesis method employed in preserving the desired elemental composition during the production of Fe₃O₄ nanoparticles. Additionally, the presence of a minor peak for carbon (C) was observed in the EDX spectra. This peak can be attributed to the

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presence of electric latex in the EDX sample holder (Chin et al., 2022b). These findings align with previous studies that have utilized EDX analysis to investigate the composition of Fe₃O₄ nanoparticles.



Figure 5: EDX spectra of magnetite (Fe₃O₄) nanoparticles.

4.1.3 Analysis of Magnetite (Fe₃O₄) Particle Size using Field Emission Scanning Electron Microscopy (FESEM)

Figure 6 depicts the FESEM images and size distribution histogram of Fe₃O₄ nanoparticles. The average particle size was estimated to be 39.52 nm. As evidently seen from Figure xx, the average particle size obtained using FESEM analysis exhibited a slight increase compared to the average crystallite size determined from experimental data, but it notably matched the average crystallite size derived from simulated data. The slightly larger average particle size estimated using FESEM analysis compared to the average crystallite size obtained from experimental data suggests potential factors such as particle aggregation or surface effects affecting FESEM measurements (Al-Tabbakh et al., 2019). However, its alignment with the average crystallite size derived from simulated data implies that the simulation captures the idealized crystalline structure more accurately, possibly overlooking such microstructural complexities evident in experimental measurements, thereby presenting a consistent estimate with FESEM analysis(Abd El-Sadek et al., 2019).



Figure 6: FESEM images and size distribution histogram of magnetite (Fe₃O₄) nanoparticles.



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4.1.4 Analysis of Magnetite (Fe₃O₄) Particle Size using Transmission Electron Microscopy (TEM)

To further validate the estimated crystallite size using experimental as well as simulated data, transmission electron microscopy (TEM) was further used to determine the particle size. As depicted in Figure 7, the average particle size was found to be 40.23 nm. The estimated average particle size obtained is a bit greater than the average crystallite size estimated using the experimental data, but almost in agreement with the estimated average crystallite size of Fe_3O_4 nanoparticle estimated from the simulated data. The discrepancy between experimentally obtained average particle size and crystallite size, compared to simulated data, likely stems from differences in measurement techniques, sample preparation, and material properties [42]. Experimental methods may be influenced by sample homogeneity and instrument limitations, while simulations often assume ideal conditions. Variability in crystallinity and material properties further contribute to the observed differences (Augustin et al., 2016).



Figure 7: TEM images and size distribution histogram of magnetite (Fe₃O₄) nanoparticle

5. Conclusion

This research work explores the structural examination of synthesized magnetite (Fe₃O₄) nanoparticles by employing both experimental and simulated X-ray diffraction (XRD) methodologies. Through rigorous scrutiny, the investigation showcased the nanoparticles' high purity and crystallinity, which was supported by the close correspondence between experimental and simulated XRD patterns. Employing Scherer's method, Size Strain Plot method, and Halder-Wagner method, the study estimated the average crystallite size and microstrain of the nanoparticles. Discrepancies in the data between experimental and simulated approaches were identified, attributed to inherent variances in measurement techniques and material properties. Further validation of the nanoparticles' composition was provided by Energy-Dispersive X-ray (EDX) analysis, while particle size estimates were verified through transmission electron microscopy. This study offers comprehensive insights into the structural properties of Magnetite (FeO₄) nanoparticles. It underscores the importance of employing multiple analysis techniques and utilizing simulation methods to estimate the crystallite size of Fe₃O₄ nanoparticles. Through a combination of experimental approaches and simulations, the research provides a thorough understanding of the nanoparticles' composition and structural characteristics. By integrating findings from diverse methodologies, the study contributes to advancing knowledge in nanomaterials research and sets a groundwork for future investigations and applications in various fields.

Declaration of Competing Interest

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The authors state that no conflicting financial interests or personal relationships may have influenced the results presented in this paper.

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